Organocatalytic and direct asymmetric vinylogous Michael addition of α, α -dicyanoolefins to α, β -unsaturated aldehydes[†]

Jian-Wu Xie,^{bc} Lei Yue,^a Dong Xue,^b Xiao-Li Ma,^a Ying-Chun Chen,^{*a} Yong Wu,^a Jin Zhu^b and Jin-Gen Deng^{*b}

Received (in Cambridge, UK) 16th January 2006, Accepted 20th February 2006 First published as an Advance Article on the web 7th March 2006 DOI: 10.1039/b600647g

The first highly regio-, chemo-, diastereo- and enantioselective direct vinylogous Michael addition of α,α -dicyanoolefins to α,β -unsaturated aldehydes is described, employing readily available chiral α,α -diarylprolinol salts as iminium organocatalysts.

The Michael addition reaction is widely recognized as one of the most versatile carbon-carbon bond forming reactions in organic synthesis. Therefore, the development of enantioselective, catalytic protocols for this reaction have been the subject of intensive research.¹ Over the past few decades, significant advances have been made in the asymmetric Michael reactions of α , β -unsaturated ketones, esters, amides (imides) and nitroolefins.² However, the development of catalytic methods to promote the enantioselective Michael addition to α,β -unsaturated aldehydes,³ especially for the construction of sp3-sp3 C-C bonds,4 has proved to be more challenging. Furthermore, the nucleophiles employed in asymmetric Michael addition reactions generally have been limited to α-enolizable carbonyl compounds, nitroalkanes and organometallic reagents.¹ Expanding the scope of asymmetric Michael reactions with respect to both the electrophile and the nucleophile would be highly desirable. Recently, we have established that α, α -dicyanoolefin compounds can selectively behave as acceptors⁵ or vinylogous donors^{6,7} in Michael reactions under easily controllable conditions (eqn. (1)). In this paper, we describe the first asymmetric direct vinylogous Michael addition of α, α -dicyanoolefins to a, \beta-unsaturated aldehydes using readily available chiral a,a-diarylprolinol⁸ salts as iminium organocatalysts.⁹ Notably the reactions are highly regio-, chemo-, diastereo- and enantioselective, which simultaneously give the multi-functional products with two vicinal chiral tertiary carbon centers.



^aKey Laboratory of Drug-Targeting of Education Ministry and Department of Medicinal Chemistry, West China School of Pharmacy, Sichuan University, Chengdu 610041, China.

E-mail: ycchenhuaxi@yahoo.com.cn; Fax: (+86) 28 85502609; Tel: (+86) 28 85502609

^b Key Laboratory of Asymmetric Synthesis & Chirotechnology of Sichuan Province and Union Laboratory of Asymmetric Synthesis, Chengdu Institute of Organic Chemistry, Chinese Academy of Sciences, Chengdu 610041, China. E-mail: jgdeng@cioc.ac.cn

^cGraduate School of Chinese Academy of Sciences, Beijing, China † Electronic Supplementary Information (ESI) available: Experimental procedures and structural proofs for enantiopure **4aa**, **4ca** and racemic **5a**, NMR spectra and HPLC spectra. See DOI: 10.1039/b600647g

While a simple tertiary amine can smoothly catalyze the Michael addition of an a,a-dicyanoolefin substrate to nitrostyrene by deprotonating the acidic γ -allylic C–H to generate the nucleophilic carbanion, 6a,c we wondered if other activated α,β -unsaturated compounds could be successfully employed as Michael acceptors using the same strategy. It was found that no reaction occurred between α, α -dicyanoolefin 2a and crotonaldehyde (3a) in the presence of catalytic NEt₃ or quinine (Table 1, entry 1). We then activated the Michael acceptor to promote this transformation. Gratifyingly, L-proline (1a) (Fig. 1, 20 mol%) was found to be a highly active catalyst at ambient temperature, apparently through an enal-activated intermediate.^{3,4} A clean product 4aa was obtained quantitatively with anti-selectivity (see ESI†), # while the ee was only moderate (Table 1, entry 2). Very poor results were observed when proline analogues 1b and 1c were applied (Table 1, entries 3 and 4). MacMillan's catalyst (1d) was inert in this reaction (Table 1, entry 5). On the other hand, a better ee (70%) was observed in the presence of OHetherified (S)- α , α -diphenylprolinol (1e) and *para*-nitrobenzoic acid (PNBA) (Table 1, entry 6).8 To our surprise, the OHfree prolinol 1f also exhibited excellent catalytic activity in

Table 1Screening studies of the organocatalytic vinylogous Michaeladdition of α, α -dicyanoolefin 2a to crotonaldehyde $3a^{a}$

NC Za	CN] +	CHO 20 mol% THF, 1	20 mol% cat. THF, rt			
Entry	Catalyst	Time/h	$\operatorname{Yield}^{b}(\%)$	ee ^c (%)		
1	NEt ₃	24	0	_		
2	1a	2	99	50		
3	1b	2	99	0		
4	1c	27	99	10		
5	1d	24	0			
6^d	1e	20	83	70		
7^d	1f	20	89	85		
8^d	1g	20	76	83		
9^d	1ĥ	20	63	78		
10^{d}	1i	20	72	79		
11^{d}	1j	20	87	79		
12^d	1k	20	81	81		
13 ^{<i>d</i>,<i>e</i>}	1f	96	61	95		

^{*a*} Reactions performed with 0.1 mmol **2a**. Method: 2.0 equiv. **3a**, 20 mol% catalyst in 1 mL THF at room temperature. ^{*b*} Isolated yield. ^{*c*} Determined by chiral HPLC analysis. ^{*d*} 20 mol% PNBA was added. ^{*e*} At -50 °C.



Fig. 1 Structures of the chiral secondary amine catalysts.

combination with PNBA, and an even higher enantioselectivity (85% ee) was obtained (Table 1, entry 7).§ In contrast, probably due to the formation of unreactive hemiaminal species, the results catalyzed by the free prolinols in the literature were generally poorer than those obtained using their etherified analogues.¹⁰ Subsequently, various α, α -disubstituted prolinols **1g–1k** were screened and similar results achieved (Table 1, entries 8–12). By lowering the temperature to -50 °C, we obtained excellent enantioselectivity (95% ee) and good yield (61%) in the presence of **1f** and PNBA, while the reaction time was extended (Table 1, entry 13).

With the optimal reaction conditions in hand, we then examined a variety of α, α -dicyanoolefins (Fig. 2) and α, β -unsaturated aldehydes to establish the general utility of this novel asymmetric transformation (Table 2). The reaction's scope proved to be quite broad with respect to both the α, α -dicyanoolefins and β -substitution on the electrophiles. Only the anti-products were detected for all the reactions tested, except for acyclic substrate 2e (Table 2, entry 19). High ee values were obtained in the reactions of linear or branched alkyl and aryl, or heteroaryl-substituted α,β -unsaturated aldehydes with cyclic and aromatic α, α -dicyanoolefins 2a-2d (Table 2, entries 1-18). Up to 98% ee was found from the combination of β -isopropylacrolein **3e** and **2b** (Table 2, entry 8). In addition, an electron-donating substituent on the aryl ring of α . α -dicyanoolefin substrates tended to decrease their reactivity without affecting the excellent enantioselectivity (Table 2, entry 18). Moreover, a high ee was obtained in the case of acyclic aromatic substrate 2e with a moderate yield, while a small amount of diastereomer (2% yield) was isolated with 48% ee (Table 2, entry 19). Simple aliphatic olefin 2f also gave a good ee in this reaction (Table 2, entry 20). Notably, the desymmetrization of cyclic substrate 2g was also found to be successful, and addition product 4ga, with three chiral carbon centers, was generated with excellent diastereoselectivity (>99%), while the ee was moderate (Table 2, entry 21). However, it should be noted that α,β -unsaturated ketones show no reactivity in this catalytic system, and their reactions with the vinylogous donors remain unexplored.

To determine the absolute configuration of the vinylogous Michael addition products, a single crystal suitable for X-ray



Fig. 2 Structures of the α, α -dicyanoolefins.

Table 2 Asymmetric vinylogous Michael addition of α, α -dicyanoolefins 2 to α, β -unsaturated aldehydes 3^{α}

NC	2 CN	20 mol 20 mol CHO THF, -5	% 1f % PNBA 50 °C, 96h R		R H
Entry	Substrate 2	R	Product 4	$\operatorname{Yield}^{b}(\%)$	ee ^c (%)
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	2a 2a 2a 2b 2b 2b 2b 2b 2b 2b 2b 2b 2b 2b 2b 2b	Me (3a) n-Pr (3b) n-Bu (3c) Ph (3d) Me (3a) n-Pr (3b) n-Bu (3c) <i>i</i> -Pr (3e) Ph (3d) <i>p</i> -Cl-Ph (3f) <i>p</i> -MeO-Ph (3g) 2-Furanyl (3h) Me (3a) <i>n</i> -Pr (3e) Ph (3d) <i>m</i> -Pr (3e) <i>m</i> -Bu (3c) <i>i</i> -Pr (3e) Ph (3d) Me (3a)	4aa 4ab 4ac 4ba 4ba 4bb 4bc 4bc 4bd 4bd 4bf 4bg 4bh 4ca 4cb 4cc 4cc 4cd 4da	61 58 51 80 83 78 80 69 83 60 63 71 91 75 71 55 90 48	95 94 93 89 95 95 94 98 92 90 92^{d} 92 92 93 92 92 92 92 92 92 92 92 92 92 92 92 92
19 ^f 20 21	2e 2f 2g	Me (3a) Me (3a) Me (3a)	4ea 4fa 4ga	40 57 49	$88 \\ 82^{d} \\ 68^{d}$

^{*a*} Reactions performed with 0.1 mmol **2**. Method: 2.0 equiv. **3**, 20 mol% catalyst, in 1 mL THF at -50 °C for 96 h. ^{*b*} Isolated yield. ^{*c*} Determined by chiral HPLC analysis. ^{*d*} Determined by chiral HPLC analysis after conversion to the corresponding alcohol. ^{*e*} The absolute configuration was determined to be (C8*S*, C13*R*). ^{*f*} The minor diastereomer was isolated in about 2% yield with 48% ee.

crystallographic analysis was fortunately obtained from enantiopure **4ca**, bearing a sulfur atom. As shown in Fig. 3, it comprises a (C8*S*, C13*R*) configuration with an *anti*-structure.[‡]

Scheme 1 illustrates the synthetic versatility of the multifunctional products of this new methodology. A selective intramolecular vinylogous aldol reaction could easily be conducted with **4ad** under mild basic conditions, giving separable annulated diastereomeric products **5a** and **5b** without there being any effect on the ee. On the other hand, the carbonyl functionality could be selectively reduced to give **6** without affecting the C=C bond by using NaBH(OAc)₃ as the reductant. Compound **7**, with three contiguous chiral centers, was produced using the Hantzsch ester as the hydrogen source.^{6a}



Fig. 3 Molecular structure of enantiopure 4ca (ellipsoids of 30% probability).



Conditions: i) K2CO3, TBAB; ii) NaBH(OAc)3; iii) Hantzsch ester, 74% for two steps

Scheme 1 Selective transformation of Michael addition products.

In conclusion, we have successfully demonstrated the first asymmetric direct vinylogous Michael addition reactions of electron-deficient α, α -dicyanoolefins with α, β -unsaturated aldehydes, showing excellent regio-, chemo-, diastereo- and enantio-selectivities. They employ readily available α, α -diarylprolinols as the iminium organocatalysts, which have been shown to be more effective than their etherified analogues. This novel methodology provides facile access to various enantioenriched multi-functional compounds that, to date, have not been reported in the literature. Current studies are well under way to expand the synthetic utility of this new reaction, as well as of this catalytic system in other asymmetric transformations.

This work was made possible by a grant from Sichuan University. We are grateful for the financial support from National Natural Science Foundation of China and the Chinese Academy of Sciences.

Notes and references

‡ Crystal data for 4aa: $C_{17}H_{16}N_2O$, M = 264.32, orthorhombic, space group $P2_12_12_1$, a = 6.076(1), b = 13.777(3), c = 17.439(3) Å, U = 1459.74(51) Å³, Z = 4, crystal size 0.52 × 0.32 × 0.18 mm, T = 296(2) K, SIEMENS P4 diffractometer, absorption coefficient 0.076 mm⁻¹, reflections collected 2014, independent reflections 1903 ($R_{int} = 0.0093$), refinement by full-matrix least-squares on F^2 , data/restraints/parameters 1903/0/183, goodness-of-fit on $F^2 = 0.905$, final R indices $[I > 2\sigma(I)]$: R1 = 0.0377, wR2 = 0.0722, R indices (all data): R1 = 0.0663, wR2 = 0.0791, largest differential peak and hole 0.112 and -0.116 e Å⁻³. CCDC 295476. Crystal data for 4ca: $C_{16}H_{14}N_2OS$, M = 282.35, monoclinic, space group $P2_1, a = 7.534(1), b = 7.610(1), c = 12.685(1) \text{ Å}, \beta = 97.25(1)^\circ, U = 721.52$ (14) Å³, Z = 2, crystal size 0.58 \times 0.48 \times 0.16 mm, T = 296(2) K, SIEMENS P4 diffractometer, absorption coefficient 0.221 mm⁻ reflections collected 3788, independent reflections 3158 ($R_{int} = 0.0126$), refinement by full-matrix least-squares on F^2 , data/restraints/parameters 3158/1/183, goodness-of-fit on $F^2 = 1.007$, final R indices $[I > 2\sigma(I)]$: R1 = 0.0362, wR2 = 0.0885, *R* indices (all data): R1 = 0.0472, wR2 = 0.0924, largest differential peak and hole 0.174 and -0.210 e Å⁻³. CCDC 295477. Crystal data for **5a**: $C_{22}H_{18}N_2O$, M = 326.38, monoclinic, space group $P2_1/n$, a = 15.603(4), b = 6.159(1), c = 18.040(4) Å, $\beta = 97.72(2)^\circ$, U = 18.040(4)1717.96 (73) \AA^{-3} , Z = 4, crystal size 0.60 × 0.42 × 0.24 mm, T = 296(2) K, SIEMENS P4 diffractometer, absorption coefficient 0.078 mm⁻¹ reflections collected 3776, independent reflections 3203 ($R_{int} = 0.0250$), refinement by full-matrix least-squares on F^2 , data/restraints/parameters 3203/0/228, goodness-of-fit on $F^2 = 0.966$, final *R* indices $[I > 2\sigma(I)]$: R1 = 0.0448, wR2 = 0.0936, *R* indices (all data): R1 = 0.0815, wR2 = 0.1033, largest differential peak and hole 0.174 and -0.143 e Å³. CCDC 295478. For crystallographic data in CIF or other electronic format see DOI: 10.1039/b600647g

 $\$ Much less satisfactory results were obtained in the presence of 1f without adding PNBA (48 h, 56% yield, 79% ee). For the screening of acid additives, see ESI.†

- For reviews, see: (a) M. P. Sibi and S. Manyem, *Tetrahedron*, 2000, 56, 8033; (b) N. Krause and A. Hoffmann-Roder, *Synthesis*, 2001, 171; (c) J. Seayad and B. List, *Org. Biomol. Chem.*, 2005, 3, 719; (d) P. I. Dalko and L. Moisan, *Angew. Chem., Int. Ed.*, 2004, 43, 5138.
- For leading references, see: (a) A. Prieto, N. Halland and K. A. Jørgensen, Org. Lett., 2005, 7, 3897; (b) T. J. Peelen, Y. Chi and S. H. Gellman, J. Am. Chem. Soc., 2005, 127, 11598; (c) Y. Hoashi, T. Okino and Y. Takemoto, Angew. Chem., Int. Ed., 2005, 44, 4032; (d) M. S. Taylor, D. N. Zalatan, A. M. Lerchner and E. N. Jacobsen, J. Am. Chem. Soc., 2005, 127, 1313; (e) H. Li, J. Song, X. Liu and L. Deng, J. Am. Chem. Soc., 2005, 127, 8948; (f) J. W. Yang, M. T. Hechavarria Fonseca and B. List, J. Am. Chem. Soc., 2005, 127, 15036; (g) H. Li, Y. Wang, L. Tang, F. Wu, X. Liu, C. Guo, B. M. Foxman and L. Deng, Angew. Chem., Int. Ed., 2005, 44, 105; (h) T. Okino, Y. Hoashi, T. Furukawa, X. Xu and Y. Takemoto, J. Am. Chem. Soc., 2005, 127, 119.
- 3 (a) N. A. Paras and D. W. C. MacMillan, J. Am. Chem. Soc., 2001, 123, 4370; (b) J. F. Austin and D. W. C. MacMillan, J. Am. Chem. Soc., 2002, 124, 1172; (c) N. A. Paras and D. W. C. MacMillan, J. Am. Chem. Soc., 2002, 124, 7894; (d) For Rh-catalyzed arylboronic acid Michael addition, see: J.-F. Paquin, C. Defieber, C. R. J. Stephenson and E. M. Carreira, J. Am. Chem. Soc., 2005, 127, 10850.
- 4 For limited examples, see: (a) R. K. Kunz and D. W. C. MacMillan, J. Am. Chem. Soc., 2005, **127**, 3240; (b) W. Wang, H. Li and J. Wang, Org. Lett., 2005, **7**, 1637; (c) S. P. Brown, N. C. Goodwin and D. W. C. MacMillan, J. Am. Chem. Soc., 2003, **125**, 1192; (d) M. Yamaguchi, T. Shiraishi and M. Hirama, J. Org. Chem., 1996, **61**, 3520; (e) M. Yamaguchi, T. Shiraishi and M. Hirama, Angew. Chem., Int. Ed. Engl., 1993, **32**, 1176; (f) For organocatalytic Michael reactions of α,β-unsaturated ketones using an iminium strategy, see ref. 2a and references therein.
- 5 (a) Y.-C. Chen, D. Xue, J.-G. Deng, X. Cui, J. Zhu and Y.-Z. Jiang, *Tetrahedron Lett.*, 2004, **45**, 1555; (b) D. Xue, Y.-C. Chen, X. Cui, Q.-W. Wang, J. Zhu and J.-G. Deng, *J. Org. Chem.*, 2005, **70**, 3584.
- 6 (a) D. Xue, Y.-C. Chen, L.-F. Cun, Q.-W. Wang, J. Zhu and J.-G. Deng, Org. Lett., 2005, 7, 5293; (b) For similar work by Jørgensen, see: T. B. Poulsen, C. Alemparte and K. A. Jørgensen, J. Am. Chem. Soc., 2005, 127, 11614; (c) T. B. Poulsen, M. Bell and K. A. Jørgensen, Org. Biomol. Chem., 2006, 4, 63.
- 7 For a recent review on vinylogous reactions, see: S. E. Denmark, Jr., J. R. Heemstra and G. L. Beutner, *Angew. Chem., Int. Ed.*, 2005, 44, 4682.
- 8 For recent examples using chiral α,α-diarylprolinol ethers as organocatalysts, see: (a) J. Franzen, M. Marigo, D. Fielenbach, T. C. Wabnitz, A. Kjarsgaard and K. A. Jørgensen, J. Am. Chem. Soc., 2005, 127, 18296; (b) Y. Chi and S. H. Gellman, Org. Lett., 2005, 7, 4253; (c) M. Marigo, J. Franzen, T. B. Poulsen, W. Zhuang and K. A. Jørgensen, J. Am. Chem. Soc., 2005, 127, 6964; (d) Y. Hayashi, H. Gotoh, T. Hayashi and M. Shoji, Angew. Chem., Int. Ed., 2005, 44, 4212; (e) M. Marigo, T. Schulte, J. Franzen and K. A. Jørgensen, J. Am. Chem. Soc., 2005, 127, 15710.
- 9 For a special issue on organocatalysts, see: Acc. Chem. Res., 2004, 37(8).
- 10 The OH-free prolinols have previously been reported to fail to activate enals owing to the formation of unreactive protonated cyclic *N*,*O*-acetals, see: (a) S. Karlsson and H.-E. Högberg, *Tetrahedron: Asymmetry*, 2002, **13**, 923; (b) S. Karlsson and H.-E. Högberg, *Eur. J. Org. Chem.*, 2003, 2782. Also see ref. 8a.